

TEXAS HIGHER EDUCATION COORDINATING BOARD  
**Fiscal Year 2002 Report on Optional Retirement Program Participation**  
**Part A - CONTRIBUTIONS**

1. Institution Name: The University of Texas M.D. Anderson Cancer Center

DUE by November 15

2. Employer Contribution Rate Category for this set of pages 1 and X 8.5%      7.31%      6%      All rates

Note: This report covers the period  
09/01/01 through 08/31/02.

**Note: A separate set of pages 1 and 2 must be completed for each rate category at the institution and for the total contributions (all rates combined).**

3. Please type or print clearly for data entry.

**ROUND TO THE NEAREST DOLLAR. (No decimals)**

Salary Source (Definitions provided on page 1-def.)	(1) No. of ORP Participants *	(2) Salary of these participants in this fiscal year	(3) Employer ORP contribution for these participants in this fiscal year.
A. General Revenue Funds	50	\$ 8,360,871	\$ 710,674
B. Other Educational and General Funds	231	\$ 38,013,070	\$ 3,237,550
C. Non-Educational and General Funds	175	\$ 32,242,765	\$ 2,740,635
D. Federal Funds and Private Grants	123	\$ 8,414,106	\$ 715,199
<b>E: TOTAL</b>	<b>579</b>	<b>\$ 87,030,812</b>	<b>\$ 7,404,058</b>

PLEASE check to see that:

- \* The total number of participants shown in item 3E, column (1) **exactly** matches the total number of participants shown on page 2, item 3, column (3).
- \* The total employer contributions shown in item 3E, column (3) **exactly** matches the total employer contributions shown on page 2, item 3, column (5).
- \* The total salary shown in item 3E, column (2) multiplied by the employee contributions rate (6.65%) matches or very close to the total employee contributions shown on page 2, item 3, column (4). If not, please explain on an attached page.
- \* The total salary shown in item 3E, column (2) multiplied by the employer contributions rate matches or very close to the total employee contributions shown on page 2, item 3, column (4). If not, please explain on an attached page. NOTE: the bullet does not apply to the "all rates" category.
- \* All amounts on the "all rates" set of pages 1 and 2 equal the corresponding amounts on the individual rate pages combined.

**Salary difference is due to participate incorrectly enrolled into the wrong retirement plans. Adjustment where made to correct the errors.**

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Note: This report covers the period 09/01/01 through 08/31/02.

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**ROUND TO THE NEAREST DOLLAR. (No decimals)**

Salary Source (Definitions provided on page 1-def.)	(1) No. of ORP Participants *	(2) Salary of these participants in this fiscal year	(3) Employer ORP contribution for these participants in this fiscal year.
A. General Revenue Funds	51	\$ 6,169,717	\$ 370,183
B. Other Educational and General Funds	232	\$ 28,106,450	\$ 1,686,387
C. Non-Educational and General Funds	233	\$ 25,938,783	\$ 1,556,327
D. Federal Funds and Private Grants	118	\$ 6,830,900	\$ 409,854
<b>E: TOTAL</b>	<b>634</b>	<b>\$ 67,045,850</b>	<b>\$ 4,022,751</b>

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**ROUND TO THE NEAREST DOLLAR. (No decimals)**

Salary Source (Definitions provided on page 1-def.)	(1) No. of ORP Participants *	(2) Salary of these participants in this fiscal year	(3) Employer ORP contribution for these participants in this fiscal year.
A. General Revenue Funds	101	\$ 14,530,588	\$ 1,080,857
B. Other Educational and General Funds	463	\$ 66,119,520	\$ 4,923,937
C. Non-Educational and General Funds	408	\$ 58,181,548	\$ 4,296,962
D. Federal Funds and Private Grants	241	\$ 15,245,006	\$ 1,125,053
<b>E: TOTAL</b>	<b>1213</b>	<b>\$ 154,076,662</b>	<b>\$ 11,426,809</b>

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Total dollar remittance in Fiscal Year 2002 for each company receiving Optional Retirement Program contributions:

Please type or print clearly for data entry.

**ROUND TO THE NEAREST DOLLAR (No decimals).**

(1) Company Name (in alphabetical order)	(2) Co. Code	(3) No. of ORP Participants *	(4) Employee Contributions	(5) Employer Contribution	(6) Total Remittance
A. Aetna Life Insurance/ING	1	139	\$ 1,380,730	\$ 1,769,434	\$ 3,150,164
B. American Century	179	2	\$ 23,062	\$ 29,477	\$ 52,539
C. American Express Fin./ IDS	42	7	\$ 66,485	\$ 84,981	\$ 151,466
D. Capital Guardian Trust	118	14	\$ 157,484	\$ 200,881	\$ 358,365
E. Delta Life / Amerus	108	1	\$ 2,645	\$ 3,381	\$ 6,026
F. Equitable Life Assurance	24	2	\$ 19,916	\$ 25,457	\$ 45,373
G. Evergreen Keystone Service	122	1	\$ 5,426	\$ 6,935	\$ 12,361
H. Fidelity Investments	115	118	\$ 1,069,757	\$ 1,371,335	\$ 2,441,092
I. Fortis Benefits	131	1	\$ 15,751	\$ 20,132	\$ 35,883
J. Great-West Life Assurance	35	5	\$ 48,184	\$ 61,588	\$ 109,772
<b>Subtotal of this Page</b>	<del>X</del>	290	\$ 2,789,440	\$ 3,573,601	\$ 6,363,041
<b>TOTAL, ALL PAGES (last page only)</b>	<del>X</del>	<b>579</b>	<b>\$ 5,787,549</b>	<b>\$ 7,404,058</b>	<b>\$ 13,191,607</b>

\* For headcount purposes only, participants who transferred companies during the year should be reported only once, using the company they were with at the end of the year. For those participants, the employee and employer contributions should be reported as remitted to the respective companies.

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Total dollar remittance in Fiscal Year 2002 for each company receiving Optional Retirement Program contributions:

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**ROUND TO THE NEAREST DOLLAR (No decimals).**

(1) Company Name <u>(in alphabetical order)</u>	(2) Co. Code	(3) No. of ORP Participants *	(4) Employee Contributions	(5) Employer Contribution	(6) Total Remittance
A. Jackson National Life	117	1	\$ 13,780	\$ 17,614	\$ 31,394
B. John Hancock Inv.	183	1	\$ 8,182	\$ 10,458	\$ 18,640
C. Kemper Investors Life	45	2	\$ 12,695	\$ 16,226	\$ 28,921
D. Lincoln National Life	49	88	\$ 1,093,152	\$ 1,395,649	\$ 2,488,801
E. Merrill Lynch	176	2	\$ 22,601	\$ 28,889	\$ 51,490
F. Metropolitan Life	52	28	\$ 309,146	\$ 395,149	\$ 704,295
G. National Health	201	1	\$ 856	\$ 1,094	\$ 1,950
H. Nationwide Life Ins.	58	17	\$ 179,916	\$ 229,968	\$ 409,884
I. New England Life Ins	59	1	\$ 14,855	\$ 18,988	\$ 33,843
J. North American Security	154	1	\$ 11,957	\$ 15,284	\$ 27,241
<b>Subtotal of this Page</b>	<del>X</del>	142	\$ 1,667,140	\$ 2,129,319	\$ 3,796,459
<b>TOTAL, ALL PAGES (last page only)</b>	<del>X</del>	<b>579</b>	<b>\$ 5,787,549</b>	<b>\$ 7,404,058</b>	<b>\$ 13,191,607</b>

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Total dollar remittance in Fiscal Year 2002 for each company receiving Optional Retirement Program contributions:

Please type or print clearly for data entry.

**ROUND TO THE NEAREST DOLLAR (No decimals).**

(1) Company Name <u>alphabetical order</u>	(in) (2) Co. Code	(3) No. of ORP Participants *	(4) Employee Contributions	(5) Employer Contribution	(6) Total Remittance
A. Northern Life	121	3	\$ 12,692	\$ 16,222	\$ 28,914
B. Pioneer Group	140	5	\$ 41,423	\$ 52,947	\$ 94,370
C. Resources Trust	241	6	\$ 65,247	\$ 83,398	\$ 148,645
D. Scudder Fund Dist	125	7	\$ 81,267	\$ 103,777	\$ 185,044
E. Security Benefits Life	73	4	\$ 43,822	\$ 56,013	\$ 99,835
F. Teachers Ins. and Annuity	81	31	\$ 239,983	\$ 306,745	\$ 546,728
G. Texas Retirement Trust	171	10	\$ 128,298	\$ 163,989	\$ 292,287
H. The Travlers Ins. Co.	83	19	\$ 144,032	\$ 184,101	\$ 328,133
I. USAA Life Ins. Co.	87	4	\$ 40,520	\$ 51,793	\$ 92,313
J. VALIC	88	58	\$ 533,685	\$ 682,153	\$ 1,215,838
<b>Subtotal of this Page</b>	<del>X</del>	147	\$ 1,330,969	\$ 1,701,138	\$ 3,032,107
<b>TOTAL, ALL PAGES (last page only)</b>	<del>X</del>	<b>579</b>	<b>\$ 5,787,549</b>	<b>\$ 7,404,058</b>	<b>\$ 13,191,607</b>

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Total dollar remittance in Fiscal Year 2002 for each company receiving Optional Retirement Program contributions:

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**ROUND TO THE NEAREST DOLLAR (No decimals).**

(1) Company Name (in alphabetical order)	(in (2) Co. Code	(3) No. of ORP Participants *	(4) Employee Contributions	(5) Employer Contribution	(6) Total Remittance
A. Aetna Life Insurance	1	77	\$ 580,602	\$ 523,851	\$ 1,104,453
B. American Century	179	1	\$ 3,890	\$ 3,510	\$ 7,400
C. American Express Fin.	42	1	\$ 2,456	\$ 2,216	\$ 4,672
D. Fidelity Investments	115	290	\$ 1,984,805	\$ 1,790,802	\$ 3,775,607
E. Great West Life Assurance	35	4	\$ 25,587	\$ 23,086	\$ 48,673
F. Kemper Investors Life	45	1	\$ 5,541	\$ 4,999	\$ 10,540
G. Lincoln National Life	49	30	\$ 261,058	\$ 235,541	\$ 496,599
H. Merrill Lynch	176	1	\$ 8,025	\$ 7,241	\$ 15,266
I. Metropolitan Life	52	14	\$ 92,302	\$ 83,280	\$ 175,582
J. Pioneer Group	140	6	\$ 38,160	\$ 34,430	\$ 72,590
<b>Subtotal of this Page</b>	<del>X</del>	425	\$ 3,002,426	\$ 2,708,956	\$ 5,711,382
<b>TOTAL, ALL PAGES (last page only)</b>	<del>X</del>	<b>634</b>	<b>\$ 4,458,549</b>	<b>\$ 4,022,751</b>	<b>\$ 8,481,300</b>

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(1) Company Name (in alphabetical order)	(2) Co. Code	(3) No. of ORP Participants *	(4) Employee Contributions	(5) Employer Contribution	(6) Total Remittance
A. Scudder Fund Dist.	125	4	\$ 43,155	\$ 38,937	\$ 82,092
B. Teachers Ins.and Annuity	81	107	\$ 763,285	\$ 688,678	\$ 1,451,963
C. The Copeland Companies	217	2	\$ 26,982	\$ 24,345	\$ 51,327
D. The Travelers Ins. Co.	83	19	\$ 133,979	\$ 120,883	\$ 254,862
E. USAA Life Ins. Co.	87	1	\$ 5,293	\$ 4,776	\$ 10,069
F. VALIC	88	76	\$ 483,429	\$ 436,176	\$ 919,605
G.					
H.					\$ -
I					
J					\$ -
<b>Subtotal of this Page</b>	<del>X</del>	209	\$ 1,456,123	\$ 1,313,795	\$ 2,769,918
<b>TOTAL, ALL PAGES (last page only)</b>	<del>X</del>	<b>634</b>	<b>\$ 4,458,549</b>	<b>\$ 4,022,751</b>	<b>\$ 8,481,300</b>

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A. Aetna Life Insurance/ING	1	216	\$ 1,961,332	\$ 2,293,285	\$ 4,254,617
B. American Century	179	3	\$ 26,952	\$ 32,987	\$ 59,939
C. American Express Fin./ IDS	42	8	\$ 68,941	\$ 87,197	\$ 156,138
D. Capital Guardian Trust	118	14	\$ 157,484	\$ 200,881	\$ 358,365
E. Delta Life / Amerus	108	1	\$ 2,645	\$ 3,381	\$ 6,026
F. Equitable Life Assurance	24	2	\$ 19,916	\$ 25,457	\$ 45,373
G. Evergreen Keystone Service	122	1	\$ 5,426	\$ 6,935	\$ 12,361
H. Fidelity Investments	115	408	\$ 3,054,562	\$ 3,162,137	\$ 6,216,699
I. Fortis Benefits	131	1	\$ 15,751	\$ 20,132	\$ 35,883
J. Great-West Life Assurance	35	9	\$ 73,771	\$ 84,674	\$ 158,445
<b>Subtotal of this Page</b>	<del>XXXX</del>	663	\$ 5,386,780	\$ 5,917,066	\$ 11,303,846
<b>TOTAL, ALL PAGES (last page only)</b>	<del>XXXX</del>	1213	\$ 10,246,098	\$ 11,426,809	\$ 21,672,907

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C. Kemper Investors Life	45	3	\$ 18,236	\$ 21,225	\$ 39,461
D. Lincoln National Life	49	118	\$ 1,354,210	\$ 1,631,190	\$ 2,985,400
E. Merrill Lynch	176	3	\$ 30,626	\$ 36,130	\$ 66,756
F. Metropolitan Life	52	42	\$ 401,448	\$ 478,429	\$ 879,877
G. National Health	201	1	\$ 856	\$ 1,094	\$ 1,950
H. Nationwide Life Ins.	58	17	\$ 179,916	\$ 229,968	\$ 409,884
I. New England Life Ins	59	1	\$ 14,855	\$ 18,988	\$ 33,843
J: North American Security	154	1	\$ 11,957	\$ 15,284	\$ 27,241
<b>Subtotal of this Page</b>	<del>X</del>	188	\$ 2,034,066	\$ 2,460,380	\$ 4,494,446
<b>TOTAL, ALL PAGES (last page only)</b>	<del>X</del>	1213	\$ 10,246,098	\$ 11,426,809	\$ 21,672,907

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C. Resources Trust	241	6	\$ 65,247	\$ 83,398	\$ 148,645
D. Scudder Fund Dist	125	11	\$ 124,422	\$ 142,714	\$ 267,136
E. Security Benefits Life	73	4	\$ 43,822	\$ 56,013	\$ 99,835
F. Teachers Ins. and Annuity	81	138	\$ 1,003,268	\$ 995,423	\$ 1,998,691
G. Texas Retirement Trust	171	10	\$ 128,298	\$ 163,989	\$ 292,287
H. The Copeland Companies	217	2	\$ 26,982	\$ 24,345	\$ 51,327
I. The Travlers Ins. Co.	83	38	\$ 278,011	\$ 304,984	\$ 582,995
J. USAA Life Ins. Co.	87	5	\$ 45,813	\$ 56,569	\$ 102,382
<b>Subtotal of this Page</b>	<del>X</del>	228	\$ 1,808,138	\$ 1,931,034	\$ 3,739,172
<b>TOTAL, ALL PAGES (last page only)</b>	<del>X</del>	<b>1213</b>	<b>\$ 10,246,098</b>	<b>\$ 11,426,809</b>	<b>\$ 21,672,907</b>

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**ROUND TO THE NEAREST DOLLAR (No decimals).**

(1) Company Name (in alphabetical order)	(2) Co. Code	(3) No. of ORP Participants *	(4) Employee Contributions	(5) Employer Contribution	(6) Total Remittance
A. VALIC	88	134	\$ 1,017,114	\$ 1,118,329	\$ 2,135,443
B.					
C.					
D.					
E.					
F.					
G.					
H.					
I.					
J.					
<b>Subtotal of this Page</b>	<del>X</del>	134	\$ 1,017,114	\$ 1,118,329	\$ 2,135,443
<b>TOTAL, ALL PAGES</b> (last page only)	<del>X</del>	1213	\$ 10,246,098	\$ 11,426,809	\$ 21,672,907

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Electronic Transfer Certification

Institution Name: The University of Texas M.D. Anderson Cancer Center

**DUE by November 15**

Section 830.202 of the ORP statute (Chapter 830, Texas Government Code), as amended by HB 724, 75th Legislature (1997), requires the following:

- \* An institution must send ORP contributions to ORP companies by electronic transfer if the institution is currently able to send funds by electronic transfer.
- \* If a ORP company is unable to receive funds by electronic transfer, the institution must certify such to the Coordinating Board.
- \* At least once each fiscal year institutions must give notice to each ORP participant indicating which ORP companies are unable to receive funds by electronic transfer.

YES  NO  N/A

Were all ORP companies that received ORP contributions from this institution in FY02 able to receive ORP contributions by electronic transfer from this institution in FY02

If "N/A" please explain below in the comments section.

If "NO" please indicate below which companies were unable to receive ORP contributions by electronic transfer from this institution during FY02

(1) Capital Guardian Trust Co.

(5) New England Life Ins. Co

(2) Investors Life Ins. Co. of NE

(6) North American Security

(3) John Hancock Inv. Srvs. Corp.

(7) Resources Trust Co

(4) National Health Ins. Co

(if necessary, continue on an attached page.)

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2002 Report on Optional Retirement Program Participation  
Part A - CONTRIBUTIONS

Contributions Data Certification

Institution Name: The University of Texas M.D. Anderson Cancer Center

**DUE by November 15**

1. Part A of the report prepared by: (Please type or print clearly.)

Name: Misty Dobson Address: 1515 Holcombe Blvd.  
Title: Payroll Specialist Payroll Svcs. Box 186  
Phone: 713 745-9477 Houston, TX. 77030  
FAX: 713 792-3260 E-mail: mdobson@notes.mdanderson.org

1. Part A of the report approved by: (Please type or print clearly.)

Name: Nellie Borrego Address: 1515 Holcombe Blvd.  
Title: Reporting Supervisor Payroll Svcs. Box 186  
Phone: (713) 745-9472 Houston, TX. 77030  
FAX: (713) 792-3260 E-mail: nborrego@notes.mdanderson.org

3. CERTIFICATION:

I certify that the information given in Part A of this report is correct and true to the best of knowledge and is in accordance with applicable statutes and rules and regulations.

Signature of Certifying Official: \_\_\_\_\_

Date: \_\_\_\_\_

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2002 Report on Optional Retirement Program Participation  
Part B - ELIGIBILITY

Institution Name: The University of Texas M.D. Anderson Cancer Center

**DUE by November 15**

1. ELIGIBLE POSITIONS:

Attach a list of position currently eligible for the Optional Retirement Program at your institution.

- \* For staff positions, provide individual position names rather than using group terms such as "Administrators" and "Professionals."
- \* For faculty positions, provide just the group title (e.g., Associate Professor) rather than individual positions (e.g., Associate Professor, Biology).
- \* Do not include ineligible positions that are currently filled with ORP participants who have vested or who were "grandfathered" in 1987.
- \* Do not provide any individual employee data such as name or social security numbers.

2. CERTIFICATION:

I certify that all positions at my institution have been reviewed for eligibility to participate in the Optional Retirement Program and that the positions listed in response to item 1 above are in compliance with Chapter 25, Rules and Regulations of the Texas Higher Education Coordinating Board.

Signature of ORP Administrator: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Address: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

FAX: \_\_\_\_\_